

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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<b>Applicant(s):</b> Emerson Jones et al.	<b>:</b> <b>Group Art Unit:</b> 3694
<b>Serial No.:</b> 10/676,056	<b>:</b> <b>Examiner:</b> Shahid R. Merchant
<b>Filed:</b> September 30, 2003	<b>:</b> <b>Attorney Docket No.:</b> 006878.114502
<b>For:</b> METHOD AND SYSTEM FOR	<b>:</b>
ANALYZING A CAPITAL	<b>:</b>
STRUCTURE FOR A COMPANY	

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**ELECTRONIC MAIL CERTIFICATE**

Date of Deposit: July 6, 2007

I hereby certify that the following attached paper(s) and/or fee

- (1) Transmittal Form;
- (2) Amendment in Response to April 6, 2007 Office Action; and
- (3) Nineteen (19) sheets of corrected formal drawings.

are being transmitted electronically to the United States Patent Office on the date indicated above.

Respectfully submitted,  
**GREENBERG TRAURIG, LLP.**

/christine lucas/

Christine Lucas  
Patent Prosecution Paralegal

Dated: July 6, 2007

CORRESPONDENCE:

Barry J. Schindler, Esq.  
**GREENBERG TRAURIG LLP**  
Met Life Building  
200 Park Avenue, 34th Floor  
New York, New York 10166  
Phone: 212-801-2244  
Fax: 212-801-6400

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 30

Application Number	10/676,056
Filing Date	September 30, 2003
First Named Inventor	Emerson Jones
Art Unit	3694
Examiner Name	Shahid R. Merchant
Attorney Docket Number	006878.114502

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Other Enclosure(s) (please identify below):
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<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	
<b>Remarks</b>		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	GREENBERG TRAURIG, LLP	
Signature	/barry schindler/	
Printed name	Barry J. Schindler	
Date	July 6, 2007	Reg. No. 32,938

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I hereby certify that this correspondence is being transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

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